

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
2166CON2C

In Re Application Of: **Golden et al.**

Serial No.
09/490,362

Filing Date
January 24, 2000

Examiner
A. MacDonald

Group Art Unit
2768

Title: **Interactive marketing network and process using electronic certificates**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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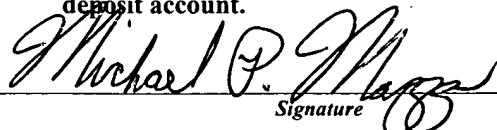
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Dated: April 25, 2000

Michael P. Mazza Registration No. 34,092
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CC:

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66CON2C

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2768

Title: Interactive marketing network and process using electronic certificates

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

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09/490,362

Examiner A. MacDonald

FILING

January 24, 2000

GROUP

2768

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R.A.	3,465,289	09/02/69	R.L Klein			
R.A.	3,505,646	04/07/70	Affel			
R.A.	3,606,688	09/21/71	J. Zawels et al.			
R.A.	3,777,410	12/11/73	Robinson			
R.A.	3,889,062	06/10/75	Epstein			
R.A.	3,899,775	08/12/75	Larsen			
R.A.	3,959,624	05/22/76	Kaslow			
R.A.	4,004,354	01/25/77	Yamauchi			
R.A.	4,012,132	03/15/77	Lazarus			
R.A.	4,014,004	03/22/77	Fuller			
R.A.	4,044,380	08/23/77	Justice et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
R.A.	1,287,304 (spec)	08/31/72	Publication of Great Britain				
R.A.	1,437,883 (spec)	06/03/76	Publication of Great Britain				
R.A.	2,105,075	05/28/82	Application of Great Britain				
R.A.	0 512 509 A2	05/26/92	Application of Europe				
R.A.	WO 85/01373	03/1985	PCT Publication				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

R.A.	1) Response Reward Systems, <u>SPORTS AND TRIVIA</u>
	2) Response Reward Systems, INTERNET CASINO LTD., Licensee under Response Reward patents covering Interactive Internet Games
R.A.	3) Response Reward Systems L.C., GAME SHOW AND QUIZ PROGRAMS
	4) Response Reward Systems L.C., VONKOHORN INTERACTIVE PATENTS, Examples, Scenarios, Flowsheets and Claims selected from Patents covering Electronic Systems and Methods (reviewed as of January 1, 1995)

EXAMINER

DATE CONSIDERED

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R.A.	4,071,697	01/31/78	Bushnell et al.			
R.A.	4,071,740	01/31/78	Gogulski			
R.A.	4,141,078	02/20/79	Bridges, Jr. et al.			
R.A.	4,166,540	09/04/79	Marshall			
R.A.	4,186,438	01/29/80	Benson et al.			
R.A.	4,208,652	06/17/80	Marshall			
R.A.	B1 4,210,961	10/01/96	Whitlow et al.			
R.A.	4,245,468	01/20/81	Fondacci			
R.A.	4,247,759	01/27/81	Yuris et al.			
R.A.	4,264,924	04/28/81	Freeman			
R.A.	4,268,744	05/19/81	McGeary			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

R.A.	5) Response Reward Systems, INTERACTIVE TV TECHNOLOGY, etc., Address by Henry Von Kohorn to the Brocating Promotion and Marketing Executives Conference, Baltimore, June 17, 1991.
R.A.	6) Response Reward Systems, INTERACTIVE TELEVISION, INTERNET, RADIO AND TELEPHONE TECHNOLOGIES. (as of June 1999)
	7) Response Reward Systems, INTERACTIVE RESPONSE REWARD TECHNOLOGY FOR TELEVISION, RADIO AND TELEPHONE
	8) Response Reward Systems, RESPONSE REWARD TELEVISION

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R.A.	4,271,351	06/02/81	Bloodworth			
R.A.	4,290,688	09/22/81	Call			
R.A.	4,331,973	05/25/82	Eskin et al.			
R.A.	4,331,974	05/25/82	Cogswell et al.			
R.A.	4,355,372	10/19/82	Johnson et al.			
R.A.	4,359,631	11/16/82	Lockwood et al.			
R.A.	4,373,133	02/08/83	Clyne et al.			
R.A.	4,396,985	08/02/83	Ohara			
R.A.	4,404,589	09/13/83	Wright, Jr.			
R.A.	4,412,631	11/01/83	Haker			
R.A.	4,449,186	05/15/84	Kelly et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

R.A.	9) Response Reward Systems, HIGHLIGHTS of INTERACTIVE TECHNOLOGIES of RESPONSE REWARD SYSTEMS L.C. For purposes of illustration, a number of selected patents are listed revised as of October 31, 1997
R.A.	10) Response Reward Systems L.C., RESPONSE REWARD INTERACTIVE IN-HOME COUPONING SYSTEMS & METHODS
	11) Response Reward Systems, THE RESPONSE REWARD TECHNOLOGY
	12) Response Reward Systems, INTERACTIVE RESULTS with ONE WAY COMMUNICATION in ENTERTAINMENT TELEVIEWED HOME SHOPPING MARKET RESEARCH EDUCATION

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
R.A.	4,451,701	05/29/84	Bendig			
R.A.	4,458,320	07/03/84	Sutton			
R.A.	4,484,302	11/20/84	Cason et al.			
R.A.	4,484,328	11/20/84	Schlaflly			
R.A.	4,500,880	02/19/85	Gomersall et al.			
R.A.	4,546,382	10/08/85	McKenna et al.			
R.A.	4,554,446	11/19/85	Murphy et al.			
R.A.	4,567,359	01/28/86	Lockwood			
R.A.	4,573,072	02/25/86	Freeman			
R.A.	4,588,881	05/13/86	Pejas et al.			
R.A.	4,592,546	06/03/86	Fascenda et al.			

FOREIGN PATENT DOCUMENTS

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						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

R.A.	13) Response Reward Systems L.C., Summary of Patented Interactive Technologies in the fields of Marketing and Entertainment as of June 30, 1996
	14) Various Articles Concerning "ZING" Technology
R.A.	15) Various Articles Concerning INTERACTIVE TV/ COUPONING
	16) Various Articles Concerning On-Line Gaming/Lotteries
	17) Various Articles Concerning Marketing/On-Line Coupons

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
LA	4,593,904	06/10/86	Graves			
LA	4,597,046	06/24/86	Musmanno et al.			
LA	4,602,279	07/22/86	Freeman			
LA	4,603,232	07/29/86	Kurland et al.			
LA	4,608,601	08/26/86	Schreck et al.			
LA	4,625,275	11/25/86	Smith			
LA	4,626,844	12/02/86	Mann et al.			
LA	4,630,108	12/16/86	Gomersall			
LA	4,635,132	01/06/87	Nakamura			
LA	4,636,950	01/13/87	Caswell et al.			
LA	4,642,767	02/10/87	Lerner			

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RA	4,658,290	04/14/87	McKenna et al.			
RA	4,669,730	06/02/87	Small			
RA	4,670,853	06/02/87	Stepien			
RA	4,699,532	10/13/87	Smith			
RA	4,703,423	10/27/87	Bado et al.			
RA	4,713,761	12/15/87	Sharpe et al.			
RA	4,733,301	03/22/88	Wright, Jr.			
RA	4,734,858	03/29/88	Schlaflly			
RA	4,748,684	05/31/88	Wright, Jr.			
RA	4,750,151	06/07/88	Baus			
RA	4,774,663	09/27/88	Musmanno et al.			

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
RA	4,775,935	10/04/88	Yourick			
RA	4,792,018	12/20/88	Humble et al.			
RA	4,794,530	12/27/88	Yukiura et al.			
RA	4,807,031	02/21/89	Broughton et al.			
RA	4,812,986	03/14/89	Smith			
RA	4,815,741	03/28/89	Small			
RA	4,816,904	03/28/89	McKenna et al.			
RA	4,821,101	04/11/89	Short			
RA	4,825,045	04/25/89	Humble			
RA	4,833,308	05/23/89	Humble			
RA	4,847,690	07/11/89	Perkins			

FOREIGN PATENT DOCUMENTS

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						YES	NO

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>LA</i>	4,853,882	08/01/89	Marshall			
<i>LA</i>	4,858,000	08/15/89	Lu			
<i>LA</i>	4,870,596	09/26/89	Smith			
<i>LA</i>	4,882,724	11/21/89	Vela et al.			
<i>LA</i>	4,890,321	12/26/89	Seth-Smith et al.			
<i>LA</i>	4,894,784	01/16/90	Smith			
<i>LA</i>	4,896,791	01/30/90	Smith			
<i>LA</i>	4,908,761	03/13/90	Tai			
<i>LA</i>	4,922,522	05/01/90	Scanlon			
<i>LA</i>	4,926,256	05/15/90	Nanba			
<i>LA</i>	4,929,819	05/29/90	Collins, Jr.			

FOREIGN PATENT DOCUMENTS

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						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 2166CC02C	SERIAL NO. 09/490,362
	Examiner A. MacDonald	
	FILING January 24, 2000	GROUP 2768

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>L.A.</i>	4,937,742	06/26/90	Marshall			
<i>L.A.</i>	4,937,853	06/26/90	Brule et al.			
<i>L.A.</i>	4,959,686	09/25/90	Spallone et al.			
<i>L.A.</i>	4,959,783	09/25/90	Scott et al.			
<i>L.A.</i>	4,965,437	10/23/90	Nagai			
<i>L.A.</i>	4,972,504	11/20/90	Daniel, Jr. et al.			
<i>L.A.</i>	4,973,952	11/27/90	Malec et al.			
<i>L.A.</i>	4,974,170	11/27/90	Bouve et al.			
<i>L.A.</i>	4,975,951	12/04/90	Bennett			
<i>L.A.</i>	4,982,337	01/01/91	Burr et al.			
<i>L.A.</i>	4,982,346	01/01/91	Girouard et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

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2768

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>RA</i>	4,984,156	01/08/91	Mekata			
<i>RA</i>	4,996,705	02/26/91	Entenmann et al.			
<i>RA</i>	5,003,384	03/26/91	Durden et al.			
<i>RA</i>	5,003,472	03/26/91	Perrill et al.			
<i>RA</i>	5,010,485	04/23/91	Bigari			
<i>RA</i>	5,014,125	05/07/91	Pocock et al.			
<i>RA</i>	5,014,212	05/07/91	Smith			
<i>RA</i>	5,025,139	06/18/91	Halliburton, Jr.			
<i>RA</i>	5,039,848	08/13/91	Stoken			
<i>RA</i>	5,047,614	09/10/91	Bianco			
<i>RA</i>	5,048,833	09/17/91	Lamle			

FOREIGN PATENT DOCUMENTS

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2768**U.S. PATENT DOCUMENTS**

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P.A.	5,056,019	10/08/91	Schultz et al.			
P.A.	5,063,610	11/05/91	Alwadish			
P.A.	5,069,453	12/03/91	Koza et al.			
P.A.	5,070,404	12/03/91	Bullock et al.			
P.A.	5,077,607	12/31/91	Johnson et al.			
P.A.	5,083,272	01/21/92	Walker et al.			
P.A.	5,093,718	03/03/92	Hoarty et al.			
P.A.	5,111,196	05/05/92	Hunt			
P.A.	5,111,927	05/12/92	Schulze, Jr.			
P.A.	5,119,295	06/02/92	Kapur			
P.A.	5,128,520	07/07/92	Rando et al.			

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
P.A.	5,128,752	07/02/92	VonKohorn			
P.A.	5,155,591	10/13/92	Wachob			
P.A.	5,158,310	10/27/92	Tannehill et al.			
P.A.	5,159,549	10/27/92	Hallman, Jr. et al.			
P.A.	5,173,594	12/22/92	McClure			
P.A.	5,176,224	01/05/93	Spector			
P.A.	5,198,644	03/30/93	Pfeiffer			
P.A.	5,214,792	05/25/93	Alwadish			
P.A.	5,231,568	07/27/93	Cohen et al.			
P.A.	5,235,509	08/10/93	Mueller et al.			
P.A.	5,237,157	08/17/93	Kaplan			

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U.S. PATENT DOCUMENTS

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D.A.	5,237,620	08/07/93	Deaton			
D.A.	5,239,480	08/24/93	Huegel			
D.A.	5,243,174	09/07/93	Veeneman et al.			
D.A.	5,250,789	10/05/93	Johnsen			
D.A.	5,256,863	10/26/93	Ferguson et al.			
D.A.	5,278,752	01/11/94	Narita et al.			
D.A.	5,285,278	02/08/94	Holman			
D.A.	5,287,266	02/15/94	Malec et al.			
D.A.	5,295,064	03/15/94	Malec et al.			
D.A.	5,305,196	04/19/94	Deaton et al.			
D.A.	5,310,997	05/10/94	Roach et al.			

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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
B.R.	5,319,455	06/07/94	Hoarty et al			
R.A.	5,343,239	08/30/94	Lappington et al.			
R.A.	5,373,440	12/13/94	Cohen et al.			
R.A.	5,382,970	01/17/95	Kiefl			
R.A.	5,389,964	02/14/95	Oberle et al.			
R.A.	5,401,946	03/28/95	Weinblatt			
R.A.	5,404,161	04/04/95	Douglass et al.			
R.A.	5,412,191	05/02/95	Baitz et al.			
R.A.	5,412,416	05/02/95	Nemirofsky			
R.A.	5,430,644	07/04/95	Deaton et al.			
R.A.	4,438,355	08/01/95	Palmer			

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R.A.	5,448,471	09/05/95	Deaton et al.			
R.A.	5,483,049	01/09/96	Schulze, Jr.			
R.A.	5,488,423	01/30/96	Walkingshaw et al.			
R.A.	5,490,060	02/06/96	Malec et al.			
R.A.	5,491,838	02/13/96	Takahisa et al.			
R.A.	5,500,514	03/19/96	Veeneman et al.			
R.A.	5,504,519	04/02/96	Remillard			
R.A.	5,508,731	04/16/96	Kohorn (Von Kohorn)			
R.A.	5,510,859	04/23/96	Douglass et al.			
R.A.	5,513,109	04/30/96	Fujishima			
R.A.	5,515,270	05/07/96	Weinblatt			

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R.A.	5,523,794	06/04/96	Mankovitz et al.			
R.A.	5,528,490	06/18/96	Hill			
R.A.	5,535,118	07/09/96	Chumbly			
R.A.	5,546,523	08/13/96	Gatto			
R.A.	5,550,734	08/27/96	Tarter et al.			
R.A.	5,557,721	09/17/96	Fite et al.			
R.A.	5,564,073	10/08/96	Takahisa			
R.A.	5,570,465	10/29/96	Tsakanikas			
R.A.	5,577,266	11/19/96	Takahisa et al.			
R.A.	5,579,537	11/26/96	Takahisa			
R.A.	5,584,025	12/10/96	Keithley et al.			

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A.A.	5,592,378	01/07/97	Cameron et al.			
A.A.	5,592,560	01/07/97	Deaton et al.			
A.A.	5,594,493	01/14/97	Nemirofsky			
A.A.	5,604,542	02/18/97	Dedrick			
A.A.	5,612,527	03/18/97	Ovadia			
A.A.	5,612,730	03/18/97	Lewis			
A.A.	5,621,812	04/15/97	Deaton et al.			
A.A.	5,634,101	05/27/97	Blau			
A.A.	5,638,457	06/10/97	Deaton et al.			
A.A.	5,642,485	06/24/97	Deaton et al.			
A.A.	5,647,677	07/15/97	Smith			

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<i>P.</i>	5,649,114	07/15/97	Deaton et al.			
<i>P.A.</i>	5,652,421	07/29/97	Veeneman et al.			
<i>P.A.</i>	5,659,469	08/19/97	Deaton et al.			
<i>P.A.</i>	5,664,110	09/02/97	Green et al.			
<i>P.P.</i>	5,675,662	10/07/97	Deaton et al.			
<i>P.A.</i>	5,679,938	10/21/97	Templeton et al.			
<i>P.A.</i>	5,687,322	11/11/97	Deaton et al.			
<i>P.A.</i>	5,697,844	12/16/97	Von Kohorn			
<i>P.A.</i>	5,701,252	12/23/97	Facchin et al.			
<i>P.A.</i>	5,710,886	01/20/98	Christensen et al.			
<i>P.A.</i>	5,727,153	03/10/98	Powell			

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<i>L.A.</i>	5,734,823	03/31/98	Saigh et al.			
<i>P.A.</i>	5,734,838	03/31/98	Robinson et al.			
<i>P.A.</i>	5,759,101	06/02/98	Von Kohorn			
<i>P.A.</i>	5,761,648	06/02/98	Golden et al.			
<i>P.A.</i>	5,774,170	06/30/98	Hite et al.			
<i>P.A.</i>	5,774,869	06/30/98	Toader			
<i>P.A.</i>	5,774,870	06/30/98	Storey			
<i>P.A.</i>	5,793,849	08/11/98	Young et al.			
<i>P.A.</i>	5,794,210	08/11/98	Goldhaber et al.			
<i>P.A.</i>	5,806,044	09/08/98	Powell			
<i>P.A.</i>	5,812,668	09/22/98	Weber			

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P.A.	5,815,657	09/29/98	Williams et al.			
P.A.	5,832,457	11/03/98	O'Brien et al.			
P.A.	5,832,458	11/03/98	Jones			
P.A.	5,837,117	11/17/98	Cameron et al.			
P.A.	5,845,259	12/01/98	West et al.			
P.A.	5,850,446	12/15/98	Berger et al.			
P.A.	5,855,007	12/29/98	Jovicic et al.			
P.A.	5,855,008	12/29/98	Goldhaber et al.			
P.A.	5,857,175	01/05/99	Day et al.			
P.A.	5,870,724	02/09/99	Lawlor et al.			
P.A.	5,889,863	03/30/99	Weber			

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P.A.	5,903,874	05/11/99	Leonard et al.			
P.A.	5,905,246	05/18/99	Fajkowski			
P.A.	5,907,831	05/25/99	Lotvin et al.			
P.A.	5,909,673	06/01/99	Gregory			
P.A.	5,915,243	06/22/99	Smolen			
P.A.	5,916,024	06/29/99	Von Kohorn			
P.A.	5,918,211	06/29/99	Sloane			
P.A.	5,918,213	06/29/99	Bernard et al.			
P.A.	5,924,080	07/13/99	Johnson			
P.A.	5,931,917	08/03/99	Nguyen et al.			
P.A.	5,943,424	08/24/99	Berger et al.			

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P.A.	5,948,040	09/07/99	DeLorme et al.			
P.A.	5,963,924	10/05/99	Williams et al.			
P.A.	5,974,396	10/26/99	Anderson et al.			
P.A.	5,978,013	11/02/99	Jones et al.			
P.A.	5,978,840	11/02/99	Nguyen et al.			
P.A.	5,979,757	11/09/99	Tracy et al.			
P.A.	5,983,196	11/09/99	Wendkos			
P.A.	5,983,208	11/09/99	Haller et al.			
P.A.	5,987,132	11/16/99	Rowney			
P.A.	5,995,942	11/30/99	Smith et al.			
P.A.	5,996,076	11/30/99	Rowney et al.			

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